

1 **Arctic stratocumulus in large-eddy simulations and a**
2 **mixed-layer model**

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5 **Key Points:**

- 6 • Sensitivities of the Arctic stratocumulus to temperature, inversion strength, and humid-
7 ity are explored through LES
8 • Mixed-layer theory explains most of the sensitivities, with minor discrepancies result-
9 ing from microphysical effects
10 • Sensitivity of the positive longwave cloud radiative feedback depends on the vertical
11 structure of warming

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12 **Abstract**

13 The stable and cloudy Arctic boundary layer (BL) is an essential component of the Arctic cli-
14 mate system and is often thought to contribute to Arctic amplification of global warming. How-
15 ever, regional and global climate models that depend on parameterized representations of BL
16 turbulence and clouds show large spreads in simulated Arctic cloudiness. We use large-eddy
17 simulations (LES) that explicitly resolve BL turbulence and clouds to explore the sensitivi-
18 ties of Arctic BL clouds to idealized climate change. Condensed water path is found to in-
19 crease with BL temperature and free-tropospheric relative humidity, but it decreases with in-
20 version strength across the BL top. The condensed water changes are indicative of a positive
21 longwave cloud feedback as the Arctic warms, especially near the surface. The magnitude of
22 the cloud feedback sensitivity depends the vertical structure of warming. The trends shown
23 by the LES climate change experiments can be largely reproduced by a mixed-layer model that
24 excludes microphysical processes. Thus, these results offer encouragement that analysis meth-
25 ods used to explain the sensitivities of lower-latitude BL clouds to climate change can be use-
26 fully extended to Arctic BL clouds.

1 Introduction

The Arctic boundary layer (BL) is known for its ubiquitous temperature inversion and the prevalent mixed-phase low clouds [Curry *et al.*, 1996]. Though the inversion strength and height vary from season to season, the overall inversion probability is as high as 90% [Tjernström and Graversen, 2009]. Many of these inversions are elevated, capping a well-mixed layer below. Annual-mean cloud fraction is around 70%, with a maximum greater than 80% in autumn [Wang and Key, 2005; Kay and L'Ecuyer, 2013]. These characteristics have manifold impacts on high-latitude climate. For example, Arctic clouds warm the surface in all seasons but summer because their longwave greenhouse effect predominates over shortwave effects, unlike for their subtropical counterparts [Intrieri *et al.*, 2002; Kay and L'Ecuyer, 2013]. Therefore, Arctic cloud feedbacks may operate differently from those at lower latitudes. Moreover, anomalous spring-time clouds have been postulated to influence the autumn sea ice minimum through long-term effects on the surface energy balance [Cox *et al.*, 2016].

Climate change in the polar regions has interested scientists since the first modeling studies of the effects of increased CO₂ concentrations. Climate models robustly show Arctic amplification under greenhouse warming [Manabe and Wetherald, 1975; Holland and Bitz, 2003]. In the recent decades, the Arctic indeed has been experiencing rapid changes. The Arctic sea ice extent, most prominently in September, has shrunk substantially since the beginning of the modern satellite record [Stroeve *et al.*, 2012]. Amplified warming in high northern latitudes has also been observed over the past decades, especially in winter [Schneider and Held, 2001; Cohen *et al.*, 2014]. This warming has been observed to be surface intensified [Screen *et al.*, 2012], with trends that can be discerned in observational records despite the large internal variability in high latitudes [Serreze *et al.*, 2009]. Furthermore, significant increases in specific humidity have been observed, but relative humidity changes are more complex [Sherwood *et al.*, 2010; Serreze *et al.*, 2012]. Cloud feedbacks and the trapping of heat under the stable inversion are thought to contribute to Arctic amplification of global warming [Wetherald and Manabe, 1975; Holland and Bitz, 2003; Graversen and Wang, 2009; Pithan and Mauritsen, 2014]. However, the sign of any cloud feedback in high latitudes is uncertain [Kay *et al.*, 2016]. In GCMs, low clouds depends on parameterizations for turbulence and convection, which are developed mainly for lower latitudes. In fact, GCMs show large spread in the simulated seasonal cycle of Arctic cloud fraction [Vavrus *et al.*, 2009; Karlsson and Svensson, 2013]. A key step towards constraining Arctic cloud feedbacks is to identify robust mechanisms of how the clouds respond to changes in temperature, inversion strength, and moisture content of the free troposphere.

Recent modeling studies have focused on microphysical and dynamical processes maintaining Arctic mixed-phase stratocumulus clouds. Savre *et al.* [2015] investigated how the persistence of Arctic mixed-phase clouds depends on microphysical processes and moisture sources in the sub-cloud layer and in the free troposphere. They found that microphysical processes (ice sublimation) play an important role in controlling the BL structure. A more idealized study by Solomon *et al.* [2014] found a similar role for ice microphysics in controlling BL moisture. One important question that was not addressed by either study is how Arctic mixed-phase clouds respond to climate change. How will the low cloud properties change as the Arctic warms?

Here we use large-eddy simulations (LES) with the recently developed Python Cloud Large Eddy Simulation code (PyCLES) [Pressel *et al.*, 2015] to investigate systematically the response of Arctic BL clouds to different kinds of idealized changes. We base our study on the Indirect and Semi-direct Aerosol Campaign (ISDAC) LES intercomparison study [Ovchinnikov *et al.*, 2014]. In an idealized setup (hereafter ISDAC-i) mimicking conditions during the ISDAC observational campaign, we investigate the sensitivities of Arctic mixed-phase stratocumulus clouds to temperature, cloud-top inversion strength, and free-tropospheric moisture. These are important factors controlling stratocumulus properties [Dal Gesso *et al.*, 2014; van der Dussen *et al.*, 2015], and understanding them is a first step toward understanding how Arctic low clouds respond to climate change. We then use a mixed-layer model (MLM) to elucidate the cloud response to perturbations seen in the LES. MLMs have been extensively used to study stratocumulus-

80 topped BLs over subtropical oceans [Lilly, 1968; Bretherton and Wyant, 1997; Dal Gesso et al.,
81 2014; De Roode et al., 2014], but rarely Arctic BL clouds. Yet they turn out to be similarly
82 useful for providing insight into controls of Arctic stratocumulus clouds.

83 We begin by describing the LES and MLM used in the study. Then we specify the ex-
84 perimental setup of the original ISDAC LES intercomparison and ISDAC-i. We justify the ISDAC-
85 i setup by characteristics of the projected Arctic warming. Next we show the results from IS-
86 DAC and ISDAC-i simulations, followed by discussion.

87 2 Model Descriptions

88 2.1 LES Code

89 We work with the recently developed PyCLES code, which uses total water specific hu-
90 midity and specific entropy as prognostic variables to solve the anelastic equations of motion
91 [Pressel et al., 2015]. PyCLES has been used successfully to simulate subtropical marine BL
92 clouds [Tan et al., 2016, 2017; Pressel et al., 2017]. This is its first application in a polar set-
93 ting.

94 We use a nominally 5th-order Weighted Essentially Non-Oscillatory (WENO5) advec-
95 tion scheme for momentum and scalars, and a strong stability preserving 3rd-order, 3-stage
96 Runge-Kutta method for time-stepping. The time-steps are adaptive with a target Courant num-
97 ber of 0.7. The numerical dissipation implicit in the WENO scheme is used in lieu of an ex-
98 plicit subgrid-scale dissipation scheme, as this has been found to lead to the most faithful sim-
99 ulation of subtropical stratocumulus [Pressel et al., 2017]. We only use a Smagorinsky-Lilly
100 subgrid-scale closure near the surface to transfer momentum between the LES domain and the
101 surface. Monin-Obukhov similarity theory is used to compute the surface flux of momentum
102 at the lower boundary. The roughness length is set to 4×10^{-4} m. The surface sensible and
103 latent heat fluxes are zero for all simulations presented in this study. The grid resolution is 50 m
104 in the horizontal, with doubly periodic boundary conditions, and 10 m in the vertical. The com-
105 putational domain extends $3.2 \text{ km} \times 3.2 \text{ km}$ in the horizontal and is 2.56 km deep in the ver-
106 tical.

107 2.1.1 Microphysics

108 The modeling of mixed-phase microphysics remains an active area of research. We use
109 a relatively simple one-moment microphysics scheme that captures basic features of Arctic mixed-
110 phase clouds [Kaul et al., 2015]. Cloud condensates are diagnosed using an empirical parti-
111 tion function $f(T)$ that models the liquid fraction λ of the condensate as a function of tem-
112 perature [Grabowski, 1998; Kaul et al., 2015; Pressel et al., 2015],

$$113 \lambda(T) = \begin{cases} 0 & \text{for } T < T_i, \\ \left(\frac{T - T_i}{T_f - T_i}\right)^n & \text{for } T_i \leq T \leq T_f, \\ 1 & \text{for } T_f < T, \end{cases} \quad (1)$$

114 where $T_f = 273.15$ K is the freezing point, $T_i \approx 235$ K is the homogeneous nucleation tem-
115 perature. The exponent n here is chosen to be 0.1 [Kaul et al., 2015], justified by the fact that
116 liquid fractions close to 80% at 240–250 K have been observed in Arctic low clouds [de Boer
117 et al., 2009].

118 The same partition function (1) is used to determine the effective specific latent heat L
119 in the mixed phase and a thermodynamically consistent saturation vapor pressure [Pressel et al.,
120 2015]. Precipitation species (rain and snow) are prognostic. The processes that govern the trans-
121 formation between species include autoconversion, aggregation, and phase changes. Sedimen-
122 tation of cloud condensate is not taken into account. The scheme uses exponential particle size
123 distribution functions for rain, ice, and snow. Cloud ice has a constant intercept parameter $N_{0,\text{ice}} =$

124 $1 \times 10^7 \text{ m}^{-4}$. The snow intercept parameter $N_{0,\text{snow}}$ is a diagnostic function of snow spe-
 125 cific humidity [Morrison *et al.*, 2011]. More details of the scheme are given in Kaul *et al.* [2015].

126 We made several minor modifications to the microphysical source terms in Kaul *et al.*
 127 [2015]. The thermodynamic variable G , which represents the vapor diffusion of an ice-water
 128 sphere and determines the mass growth rate of liquid droplets or ice crystals, is taken with-
 129 out approximation, following Straka [2009], as

$$130 \quad G(T, P) = \left[\frac{\rho_{l/i} R_v T}{D p_v^*(T)} + \left(\frac{L}{R_v T} - 1 \right) \frac{L \rho_{l/i}}{\kappa T} \right]^{-1}.$$

131 Here, $\rho_{l/i}$ is the density of liquid or ice, depending on the process, L is the effective latent
 132 heat, and p_v^* is the saturation vapor pressure; D is the water vapor diffusivity, which increases
 133 with temperature and decreases with pressure; and κ is the thermal conductivity, which de-
 134 pends approximately linearly on temperature. The detailed formulations of D and κ are given
 135 in Straka [2009]. The function G increases approximately exponentially with temperature and
 136 is used in formation (autoconversion), evaporation, and deposition/sublimation of droplets and
 137 ice crystals.

138 The formation of precipitation and evaporation/sublimation of hydrometeors contribute
 139 to the entropy tendency. The small heat transfer and the aerodynamic drag during sedimen-
 140 tation are also included in the entropy source/sink terms, as specified in Pressel *et al.* [2015].

141 **2.1.2 Radiative Transfer**

142 The default ISDAC longwave radiation scheme is idealized and depends solely on cloud
 143 liquid water content [Ovchinnikov *et al.*, 2014]. In order to capture radiative flux changes under
 144 climate change, we use the RRTMG [Iacono *et al.*, 2008] integrated into PyCLES. Addi-
 145 tional information on temperature, humidity, and ozone above the LES domain is required to
 146 calculate radiative fluxes. Therefore, the standard atmospheric profiles from the Mixed-Phase
 147 Arctic Cloud Experiment (M-PACE) LES intercomparison project are patched to the ISDAC
 148 temperature and humidity profiles. RRTMG produces a more realistic radiative flux profile,
 149 which results in slightly warmer BL compared to the ISDAC simulations with idealized ra-
 150 diation. RRTMG is called every 60 simulated seconds in PyCLES.

151 The liquid effective radius entering the radiative transfer calculation uses the parame-
 152 terization by Martin *et al.* [1994] for warm stratocumulus clouds [Blossey *et al.*, 2013; Tan *et al.*,
 153 2016]. The mean ice effective radius follows an empirical relation that depends on temper-
 154 ature and ice water content [Boudala *et al.*, 2002],

$$155 \quad r_{e,\text{ice}} = 34.419 \text{ } \mu\text{m} \times \left(\frac{\text{IWC}}{1 \text{ g m}^{-3}} \right)^{0.06} \exp [0.013 \text{ K}^{-1} (T - 273.15 \text{ K})],$$

156 where IWC is the ice water concentration (units of g m^{-3}). The mean ice effective radius is
 157 mainly a function of temperature and increases with temperature at a rate of $\sim 0.5 \text{ } \mu\text{m K}^{-1}$.
 158 It is only sensitive to IWC at low IWC values [Boudala *et al.*, 2002]. Only cloud ice is included
 159 in the radiative transfer calculation. The ice effect on cloud infrared optical depth is minimal
 160 because the cloud layer is dominated by the optically thick liquid water.

161 **2.2 Mixed-layer Model**

162 We use a MLM with liquid-ice potential temperature θ_{li} , total water specific humidity
 163 q_t , and BL height z_i as prognostic variables [Lilly, 1968; Bretherton and Wyant, 1997; Dal Gesso

164 *et al.*, 2014]:

$$165 \quad \frac{dz_i}{dt} = w_e + w_{LS}(z_i), \quad (2)$$

$$166 \quad \frac{d\theta_{li}}{dt} = \frac{1}{z_i} \left(w_e \Delta\theta_{li} - \frac{\Delta F_R}{\rho_a c_p} \right), \quad (3)$$

$$167 \quad \frac{dq_t}{dt} = \frac{1}{z_i} w_e \Delta q_t. \quad (4)$$

169 Here, w_e is the cloud-top entrainment rate, w_{LS} is the large-scale subsidence rate as a function
 170 of height, and ρ_a is the mean density of air. The Δ variables represent contrasts across
 171 the entire BL. For example, $\Delta F_R = F_R^+ - F_{R,0}$ represents the total radiative flux contrast
 172 between the fluxes at the BL top and at the surface (see Table ?? for a list of variables). The
 173 same phase partitioning (1) is used to diagnose cloud liquid and ice water specific humidity
 174 q_l and q_i . Microphysical processes are not included in the MLM. A standard saturation ad-
 175 justment procedure is called to get q_l and q_i at every time step, which then go into radiative
 176 transfer calculations. Time-stepping uses a 2nd-order 2-stage Runge-Kutta method with fixed
 177 time steps of 300 s. Radiative transfer uses the same RRTMG scheme as PyCLES and is called
 178 at every time step. Only the longwave radiative flux profile from RRTMG is used to calcu-
 179 late the radiative fluxes in (3). After the BL values are obtained at each time step, vertical pro-
 180 files are calculated using the prescribed free tropospheric conditions as upper boundary condi-
 181 tions. The MLM vertical resolution is 5 m.

182 An entrainment rate formula is needed to close the set of MLM equations. Because of
 183 the lack of surface heat fluxes, turbulence in the BL is mostly driven by cloud-top radiative
 184 cooling. We parameterize the entrainment rate as

$$185 \quad w_e = a \underbrace{\frac{\Delta_i F_R}{\rho_a c_p \Delta\theta_{li}}}_{\text{direct}} + \underbrace{w_0}_{\text{indirect}}, \quad (5)$$

186 where $a = 0.85$ and $w_0 = 0.0004 \text{ m s}^{-1}$ are constants diagnosed from ISDAC-i LES simula-
 187 tions. This is in line with assuming a direct and an indirect contribution of radiation to the mean
 188 entrainment rate [Mellado, 2017]. We define Δ_i to be the jump across the entrainment zone,
 189 more specifically the difference in values between the top of the well-mixed layer and the level
 190 at which turbulence goes to zero. For the prognostic variables, Δ_i and Δ are equivalent. BL
 191 height z_i , predicted by the MLM, lies within the entrainment zone. We assume that $\Delta\theta_{li} \approx$
 192 $\Delta_i \theta_v$ and that the longwave radiative flux jumps nearly discontinuously by $\Delta_i F_R$ at the cloud
 193 tops, driving radiative cooling at the top and with it the mixed-layer turbulence. Note that $\Delta_i F_R$
 194 is usually less than the total radiative flux contrast ΔF_R [Moeng, 2000]. The direct term in
 195 (5) arises in an integration of the buoyancy budget across the BL top [Moeng *et al.*, 1999; Stevens,
 196 2006]. Details of the entrainment parameterizations are described in Appendix A: .

197 3 Experimental Setup

198 3.1 ISDAC LES Intercomparison

199 The ISDAC LES intercomparison is based on observations of a long-lived mixed-phase
 200 stratocumulus cloud on April 26-27, 2008 north of Barrow, Alaska [Ovchinnikov *et al.*, 2014].
 201 Soundings at Barrow show a stable surface layer below a well-mixed cloud layer. The bound-
 202 ary layer is capped by a strong potential temperature inversion, with a dry free atmosphere above.

203 Our setup generally follows the ISDAC LES intercomparison (see the Appendix A of
 204 Ovchinnikov *et al.* [2014]). We define θ_{li} following Tripoli and Cotton [1981] and Pressel *et al.*
 205 [2015] as

$$206 \quad \theta_{li} = \theta \exp \left(-\frac{L}{T c_p} \frac{q_l + q_i}{1 - q_t} \right), \quad (6)$$

207 where the effective specific latent heat L is a weighted sum of that for vaporization and sub-
 208 limination, using the partition function (1) as weight. Surface heat fluxes are set to zero because
 209 the fluxes over sea ice were negligible during the field campaign [Ovchinnikov *et al.*, 2014].
 210 Large-scale subsidence is specified to be linear with height below the initial inversion at 825 m
 211 altitude, and it is constant with height above:

$$212 \quad w_{LS} = \begin{cases} -5 \times 10^{-6} \text{ s}^{-1} \cdot z, & z < 825 \text{ m}, \\ -4.125 \times 10^{-3} \text{ m s}^{-1}, & z \geq 825 \text{ m}. \end{cases} \quad (7)$$

213 This choice of subsidence profile is justified in Ovchinnikov *et al.* [2014], and it is kept fixed
 214 for all simulations here. In addition to the large-scale subsidence, relaxation of the prognos-
 215 tic variables above 1200 m altitude toward the initial conditions is also included. Relaxation
 216 is specified as an additional source term in the prognostic equations. We relax specific entropy
 217 s (a native PyCLES variable instead of the otherwise common liquid-ice potential tempera-
 218 ture) and total water specific humidity q_t toward the initial profiles above 1200 m, with a re-
 219 laxation timescale of 1 hour. Horizontal velocities are relaxed toward the initial profiles through-
 220 out the domain with a timescale of 2 hours. The simulation is run for 8 hour integration time.

221 3.2 ISDAC-i: Idealized Climate Change

222 The initially decoupled surface layer does not persist and becomes well-mixed in the IS-
 223 DAC simulations (Figure 2). Therefore, we initialize ISDAC-i with well-mixed profiles of θ_{li}
 224 and q_t below the inversion at height z_i . This allows the free troposphere to be the only source
 225 of moisture for the cloud (apart from recycling of moisture), and it shortens the equilibration
 226 time of the simulations. The initial profiles are (Figure 1):

$$227 \quad \theta_{li} = \begin{cases} \theta_{li,0}, & z \leq z_i \\ \theta_{li,0} + \Delta\theta_{li} + \Gamma_\theta(z - z_i), & z > z_i \end{cases}$$

$$228 \quad q_t = \begin{cases} q_{t,0}, & z \leq z_i, \\ q_s(T)\mathcal{H}_f, & z > z_i. \end{cases}$$

229 Here, $q_{t,0} = q_s(T_0)\mathcal{H}_0$, where q_s is the saturation specific humidity, T_0 is the surface tem-
 230 perature, and $\mathcal{H}_0 = 0.8$ is a near-surface relative humidity. This setup allows q_t to respond
 231 to temperature changes for a fixed relative humidity. We assume potential temperature lapse
 232 rate Γ_θ and relative humidity \mathcal{H}_f that are constant with height above the cloud top (see Ta-
 233 ble ?? for default values). This setup enables us to explore different initial conditions that help
 234 us to gain insight into climate change in polar regions.
 235

236 Idealized climate change studies are conducted by varying three variables (Table 1):

- 237 1. *Initial mixed-layer liquid-ice potential temperature* $\theta_{li,0}$. Changing only $\theta_{li,0}$ produces
 238 uniform warming or cooling in the entire domain. We vary $\theta_{li,0}$ from 4 K cooler to 8
 239 K warmer, while the highest temperature at the surface is close to the freezing temper-
 240 ature. Beyond this temperature, we can no longer justify negligible surface heat fluxes
 241 when the surface is ice-free.
- 242 2. *Inversion strength* $\Delta\theta_{li}$. Temperature inversions are a common feature in and above the
 243 Arctic BL. The frequency of occurrence is as high as 90%, with a typical strength of
 244 5–10 K [Tjernström and Graversen, 2009]. Changing $\Delta\theta_{li}$ can be thought of as chang-
 245 ing the horizontal advection in the free troposphere from lower latitudes, or as chang-
 246 ing the degree to which warming is surface amplified. We expect the inversion to weaken
 247 in a warmer climate, as the warming is surface amplified [Screen *et al.*, 2012]. The in-
 248 version strength directly affects entrainment processes at the cloud top and the amount
 249 of free-tropospheric moisture. We would like to distinguish the inversion strength from
 250 the commonly used lower-tropospheric stability (LTS), generally defined as the poten-
 251 tial temperature difference between at 700 hPa and the surface [Klein and Hartmann,

1993]. LTS cannot distinguish surface inversions from the elevated inversions that occur in all seasons in the Arctic, and it does not directly determine the cloud-top entrainment process. Thus, the inversion strength on which we focus is more directly relevant for BL processes.

3. *Relative humidity in the free troposphere \mathcal{H}_f .* Typical values of relative humidity in the lower free troposphere at 70N are about 60% to 70%. The projected relative humidity change with warming in climate models is negligible in this region [Sherwood *et al.*, 2010]. Therefore, we run a set of simulations with $\mathcal{H}_f = 60\%$, then compare them to those with $\mathcal{H}_f = 70\%$. We fix the value of \mathcal{H}_f and determine $\Delta q_t = q_s(T^+) \mathcal{H}_f - q_{t,0}$ from temperature and relative humidity across the cloud top. Although Δq_t is the relevant variable for cloud-top entrainment, prescribing Δq_t can lead to unreasonable relative humidity \mathcal{H}_f , which in reality is controlled by the large-scale circulation. For example, if we fix Δq_t instead of \mathcal{H}_f while changing $\theta_{li,0}$ and $\Delta\theta_{li}$, \mathcal{H}_f varies from 40 to 80%.

We ran 32 simulations with different initial conditions, each for 24 hours. Due to the setup of ISDAC-i, there is no surface heat flux to balance the cloud-top entrainment. Most simulations cannot reach a steady state over the simulated time we consider here. Therefore, we analyze both the end states and the time tendencies of the BL sensitivities.

4 Results

4.1 Comparison with LES Intercomparison

Figure 2 shows the domain-mean profiles of liquid-ice potential temperature θ_{li} , total-water specific humidity q_t , liquid-water specific humidity q_l , and the sum of ice and snow specific humidity $q_i + q_{\text{snow}}$, all averaged over the last hour of the simulation. Observations gathered by the National Research Council of Canada Convair-580 aircraft from ISDAC flight 31 are also shown for comparison [McFarquhar *et al.*, 2011]. Data are binned at a vertical interval of 50 m for the in-cloud measurements of temperature, humidity, and liquid and ice water contents. Also shown are the mean and 15–85 percentile range of the ISDAC LES model intercomparison [Ovchinnikov *et al.*, 2014]. As in the simulations in the ISDAC LES intercomparison study, the BL in our simulation becomes well mixed as turbulence generated by cloud-top radiative cooling mixes the cloud and sub-cloud layer. The initially stable layer near the surface is not maintained in our simulations; cloud-top radiative cooling leads to a BL that is cooler than was observed. A mixed-phase cloud layer of ~ 300 m thickness persists throughout the simulation (Figure 3). Although the initial liquid water specific humidity q_l is within the observed range, it becomes greater than observed at the end of the simulation. This is consistent with the cooler θ_{li} profile, allowing more condensation than the initial conditions. The ISDAC intercomparison setup lacks large-scale forcing, which could keep the BL from cooling and deviating away from the initial conditions. The resulting biases can reinforce themselves through cloud-top radiative cooling, until radiation and subsidence warming become comparable.

The observed total ice water specific humidity, which includes cloud ice and snow (observations do not distinguish cloud ice from snow), shows large variance (Figure 2d). Our LES overestimates q_i at the cloud top, but it underestimates the snow below. The shape of our ice profile arises because q_i is diagnosed and takes the same shape as q_l , while q_{snow} is prognostic and has a peak at cloud base. Liquid water path (LWP) at the end of the eighth hour in our simulation is 39 g m^{-2} , which is within the range of the intercomparison values (Figure 3). The sum of ice water path (IWP) and snow water path (SWP) is 4 g m^{-2} , also within the range of the intercomparison [Ovchinnikov *et al.*, 2014]. Using data from the ISDAC flight 31, we estimate the LWP to be $3.7\text{--}24 \text{ g m}^{-2}$, and IWP to be $3.1\text{--}11 \text{ g m}^{-2}$. Other studies have estimated different values, for example, Fan *et al.* [2011] suggest LWP and IWP to be of comparable magnitudes of $5\text{--}8 \text{ g m}^{-2}$. Savre *et al.* [2014] use LWP of $8\text{--}16 \text{ g m}^{-2}$ and IWP of $4\text{--}10 \text{ g m}^{-2}$ as the observational references for their benchmark simulation. One should also

303 be aware of the uncertainties associated with the aircraft observations. *Fan et al.* [2011] im-
 304 plies that the measured ice water content’s uncertainty is up to 100%. Nevertheless, both Py-
 305 CLES and the LES intercomparison tend to overestimate LWP and produce IWP at the lower
 306 end of the observations.

307 Models with more complex bulk microphysics schemes still tend to underestimate q_i and
 308 IWP [*Ovchinnikov et al.*, 2014]. However, LES with bin microphysics schemes produce higher
 309 IWP, and so do bulk schemes whose particle slope distribution is fitted to resemble that of the
 310 bin schemes. Unfortunately, such ice properties cannot be derived from observations so far [*Fridlind*
 311 *and Ackerman*, 2018]. We recognize the underproduction of ice compared to observations, and
 312 focus our study on the macrophysical dynamics. Given our relatively simple treatment of mi-
 313 crophysics, our LES captures the main features of the BL during ISDAC as well as other LES
 314 in the intercomparison.

315 To check for convergence of the simulations, we ran the ISDAC case with increased do-
 316 main size and reduced horizontal grid spacing. Doubling the domain size and/or the horizon-
 317 tal resolution (halving the grid spacing) produced no significant changes to the results (not shown,
 318 in agreement with *Kaul et al.*, 2015).

319 4.2 ISDAC-i

320 4.2.1 LES

321 We first show the domain mean profiles at the 24th hour (Figure 4) and timeseries (Fig-
 322 ure 5) of three selected cases to demonstrate the different simulations. In addition to the *base-*
 323 *line* simulation that is close to the ISDAC intercomparison ($\theta_{li,0} = 265$ K, $\Delta\theta_{li} = 5$ K),
 324 we also choose to present a *warm* case ($\theta_{li,0} = 273$ K, $\Delta\theta_{li} = 3$ K), and a *cold* case ($\theta_{li,0} =$
 325 261 K, $\Delta\theta_{li} = 9$ K). We choose these three cases as examples because warming in the Arc-
 326 tic is surface intensified, and we can interpret it as a combined effect of uniform warming and
 327 a weakened elevated inversion. Therefore, we use the term “surface intensified warming” to
 328 refer to increasing $\theta_{li,0}$ together with decreasing $\Delta\theta_{li}$. On the other hand, “uniform warming”
 329 refers to changing only $\theta_{li,0}$. All three cases consist of well-mixed BLs with light snow. The
 330 most significant feature in the θ_{li} and q_t profiles is the increase of inversion height z_i from
 331 *cold* to *warm* (Figure 4a and b), which corresponds to an increase of cloud thickness z_c (Fig-
 332 ure 5a).

333 Cloud liquid q_l increases with Arctic warming (Figure 4c, Figure 5b), yet the cloud ice
 334 shows a non-monotonic response (Figure 4d, Figure 5c). The amount of ice depends on the
 335 liquid fraction λ and total cloud condensate, which both vary with temperature. Given a fixed
 336 total cloud condensate amount, ice water decreases with Arctic warming because λ increases
 337 with temperature. Although the total cloud condensate still increases under uniform warming
 338 in ISDAC-i, λ increases more rapidly, leading to a lowered ice water amount under surface
 339 intensified warming. But snow, unlike cloud ice, is a prognostic variable. Its amount depends
 340 on microphysical processes. Autoconversion is the dominant source term for snow, and it in-
 341 creases significantly under surface intensified warming. SWP increases monotonically with Arc-
 342 tic warming, similarly to LWP (Figure 5d). There is no significant rain in the ISDAC-i sim-
 343 ulations.

344 To further emphasize that the BLs are indeed well-mixed in ISDAC-i, Figure 6 shows
 345 the profiles of vertical velocity variance $\overline{w'w'}$ and vertical flux of virtual potential tempera-
 346 ture $\overline{w'\theta'_v}$ (approximating the buoyancy production of TKE) for the three cases. A single peak
 347 in $\overline{w'w'}$ is found for each case, as is common in well-mixed BLs. The shape of the $\overline{w'\theta'_v}$ pro-
 348 file is the result of a combination of cloud processes [*Stull*, 1988]. The negative peak at the
 349 cloud top is indicative of entrainment, and the positive peak in the cloud is the evidence of
 350 longwave radiative cooling. The flux vanishes at the surface due to the absence of surface heat-
 351 ing. The positive $\overline{w'\theta'_v}$ below the cloud layer confirm the lack of decoupling in all three cases.
 352 This means that microphysical processes in ISDAC-i are too weak to cause decoupling, which

353 is observed in other Arctic cases [Solomon *et al.*, 2014]. The significant increase of turbulent
 354 fluxes with surface intensified warming is mainly driven by stronger cloud radiative cooling
 355 due to higher q_l . Figure 7 shows the clear sky and cloudy radiative heating rates. Note that
 356 the clear sky values are an order of magnitude smaller than the radiative heating rates due to
 357 clouds. The cloudy radiative heating rate maximum nearly doubles as we move from the *cold*
 358 to the *warm* case.

359 When we increase the relative humidity above the inversion \mathcal{H}_f from 60% to 70%, sim-
 360 ilar sensitivities to Arctic warming are seen (dashed lines in Figure 4 to 7). Free-tropospheric
 361 specific humidity increases accordingly, reducing the entrainment drying at the cloud top. In
 362 the *cold* case, a weak moisture inversion, a frequently observed feature in the Arctic BL [Curry
 363 *et al.*, 1996; Sedlar and Tjernström, 2009], is present (Figure 4b). Turbulence is slightly weak-
 364 ened in the *baseline* and the *warm* cases (Figure 6), despite an increase in LWP with \mathcal{H}_f (Fig-
 365 ure 5). One may expect that higher LWP is associated with stronger cloud-top radiative cool-
 366 ing, which drives increased turbulent mixing. This is indeed the case when \mathcal{H}_f is fixed while
 367 the other two parameters are varied. However, when we only increase \mathcal{H}_f , LWP increases, and
 368 increased condensate weakens the turbulence (citation?).

369 Having looked at the individual cases, next we present domain mean quantities averaged
 370 for the last hour of each simulation. We display the results in a gridded parameter space spanned
 371 by inversion strength (x-axis) and uniform temperature change (y-axis). We first focus on cases
 372 with $\mathcal{H}_f = 60\%$. Figure 8 (top panels) show the LWP, IWP, and SWP of 16 simulations with
 373 $\mathcal{H}_f = 60\%$. LWP is sensitive to both the inversion strength and temperature: it decreases as
 374 the cloud-top inversion strengthens, and increases as the atmosphere warms uniformly. IWP,
 375 on the other hand, shows an opposite sensitivity to uniform warming. This is because with uni-
 376 form warming, the ice fraction $1 - \lambda$ decreases strongly, notwithstanding that the total wa-
 377 ter path increases. Therefore, IWP decreases with uniform warming. In general, the amount
 378 of cloud ice is an order of magnitude less than cloud liquid, and it has negligible radiative ef-
 379 fects on the BL. SWP responds mainly to inversion strength; it decreases as the inversion strength-
 380 ens.

381 Another relevant quantity is the BL height z_i , here defined as the cloud top height (the
 382 first level from the top down that has non-zero q_l or q_i). As shown in Figure 9, z_i is mostly
 383 a function of inversion strength. The main processes that determine z_i are cloud-top entrain-
 384 ment and large-scale subsidence, as made explicit in the mass balance (2) of the MLM. In the
 385 MLM framework, w_e is inversely proportional to the inversion strength, as suggested by (5).
 386 Large-scale subsidence is fixed for all cases. We thus expect z_i to decrease monotonically with
 387 inversion strength, as long as $\Delta_i F_R$ does not increase to compensate. Cloud base height z_b
 388 shows a similar sensitivity to z_i . Both z_i and z_b increases slightly with uniform warming. How-
 389 ever, cloud thickness ($z_c = z_i - z_b$) decreases with uniform warming. This suggests param-
 390 eters in addition to w_e influence the sensitivity of z_b to uniform warming. We will discuss this
 391 further in the MLM section.

Next we examine theoretically how LWP varies with temperature and inversion strength.
 The nearly adiabatic liquid layers in the ISDAC-i LES simulations allow us to approximate
 LWP as

$$\text{LWP} \simeq \frac{1}{2} \rho_a z_c^2 \Gamma_l, \quad (8)$$

where Γ_l is the liquid water lapse rate. Hence, we can further decompose the fractional changes
 in LWP into contributions from fractional changes in z_c and Γ_l :

$$\frac{\delta \text{LWP}}{\text{LWP}} \approx \frac{\delta \Gamma_l}{\Gamma_l} + 2 \frac{\delta z_c}{z_c} \quad (9)$$

392 Figure 10 shows the decomposition of LWP fractional changes referenced to the *baseline* sim-
 393 ulation. LWP increases diagonally towards warmer and weaker inversion cases, resembling Fig-
 394 ure 8 for the LES qualitatively. The increase of LWP with temperature is due to increased Γ_l ,

395 as can be seen from the expression for Γ_l in the absence of precipitation,

$$396 \quad \Gamma_l \equiv \frac{dq_l}{dz} = -\frac{dq_s(T)}{dz}. \quad (10)$$

397 For a saturated cloud layer, the moist static energy is conserved if hydrostaticity is assumed.
398 Therefore,

$$399 \quad c_p dT + g dz + L_v dq_s = 0,$$

400 which we can rearrange to get

$$401 \quad \frac{dq_s}{dz} = -\frac{c_p}{L_v} \left(\frac{g}{c_p} + \frac{dT}{dz} \right). \quad (11)$$

402 By combining (10) and (11), we have an analytical expression for Γ_l :

$$403 \quad \Gamma_l = \frac{c_p}{L_v} (\Gamma_d - \Gamma_m). \quad (12)$$

404 Figure 11 shows the fractional change of Γ_l with respect to temperature at 900 hPa. Because
405 Γ_l is higher at warmer temperature, $(1/\Gamma_l)(d\Gamma_l/dT)$ decreases with temperature. The second
406 term on the right hand side of (9) changes similarly to the cloud thickness (compare the third
407 panels in Figures 9 and 10). Both $\delta\Gamma_l/\Gamma_l$ and $2\delta z_c/z_c$ are of comparable magnitudes given
408 the parameter variations in ISDAC-i.

409 When \mathcal{H}_f is increased from 60% to 70%, the patterns of the main BL variables discussed
410 above remain unchanged (compare bottom panels to top panels in Figures 8, 9, and 10). The
411 most significant changes are the magnitudes of LWP and z_i . This is evident in Figure 10, where
412 $2\delta z_c/z_c$ in the *warm* case becomes more dominant as \mathcal{H}_f increases. Cloud base height z_b is
413 insensitive to \mathcal{H}_f , thus the increase in z_c is due to higher z_i (Figure 9). In fact, the entrain-
414 ment rate increases slightly with \mathcal{H}_f . One might expect that a drier free troposphere promotes
415 stronger entrainment via the cloud top entrainment instability (CTEI) mechanism [Deardorff,
416 1980; Wood, 2012]. We do not observe such mechanism in our ISDAC-i simulations. All of
417 the simulations without specific humidity inversion at the cloud top satisfy neither the origi-
418 nal nor the modified CTEI criteria [Wood, 2012]. Here w_e increases with \mathcal{H}_f because more
419 moisture is entrained from the cloud top to form liquid water, which increases the cloud-top
420 radiative cooling rate and hence entrainment.

421 The use of a full radiative transfer model allows us to infer cloud radiative feedbacks
422 from ISDAC-i simulations by looking at the top-of-atmosphere (TOA) cloud radiative effect
423 (CRE_{LW}). It is defined as the difference between the all-sky and clear-sky TOA net longwave
424 fluxes. Negative CRE_{LW} means the presence of clouds allows less longwave radiation to leave
425 the top of the atmosphere. As shown in Figure 12, CRE_{LW} increases weakly with uniform warm-
426 ing, but much more prominently with a stronger temperature inversion. As the Arctic warms,
427 CRE_{LW} decreases, implying a positive LW cloud feedback at TOA. From *cold* to *baseline*, a
428 near-surface temperature increase of 4 K is associated with 1.5 W m^{-2} decrease of CRE_{LW} .
429 From *baseline* to *warm*, the near surface temperature increase is 8 K, doubling that from *cold*
430 to *baseline*, but CRE_{LW} only decreases by 1.8 W m^{-2} . The estimated sensitivities are 0.37 and
431 $0.23 \text{ W m}^{-2} \text{ K}^{-1}$ accordingly. If we look at the entire parameter range, the weakest sensi-
432 tivity is associated with warming uniformly without changing the inversion strength. On the
433 other hand, the strongest sensitivity involves minor uniform warming but significantly weaker
434 inversions. The values vary from 0.015 to $0.85 \text{ W m}^{-2} \text{ K}^{-1}$, suggesting an important role the
435 vertical structure of warming plays in high-latitude LW cloud feedbacks. By contrast, for a
436 10% increase in \mathcal{H}_f , changes in CRE_{LW} are negligible.

437 4.2.2 MLM

438 The same set of ISDAC-i simulations are run using the MLM. We will again analyze
439 the results in the parameter space of inversion strength and temperature. Figures 13 to 15 are

440 to be compared with Figures 8 to 10. Figure 16 compares the magnitudes of LWP, z_i and in-
 441 version properties in the two models. The most significant difference is in LWP, where the MLM
 442 produces a pattern with curved rather than linear contours (Figure 13). Most of the LWP gra-
 443 dients occur at cases with stronger inversions. LWP decreases with inversion strength and is
 444 insensitive to temperature change for cases with stronger inversion ($\Delta\theta_{li} \geq 7$ K). The min-
 445 imal LWP occurs at $\Delta\theta_{li} = 9$ K, $\theta_{li,0} = 273$ K, whereas for LES it occurs at $\Delta\theta_{li} = 9$
 446 K, $\theta_{li,0} = 261$ K.

447 The magnitude of LWP is significantly higher in MLM, especially for cases with $\mathcal{H}_f =$
 448 70% (Figure 16a). The difference between MLM and LES LWP due to \mathcal{H}_f changes is nearly
 449 constant across cases, shown by the distance to the 1:1 line on Figure 16a. IWP is higher in
 450 the MLM than in LES, but how it varies with inversion strength and uniform temperature changes
 451 is very similar in the two models.

452 The higher condensate amount in MLM can be attributed to thicker cloud layers (Fig-
 453 ure 14 and Figure 16b). On average, clouds are 50 m thicker in MLM than in LES. When
 454 the inversion is weak, cloud thickness is insensitive to uniform temperature changes in LES
 455 (z_i and z_b change at the same rate). This is not the case in MLM, due to a lack of direct re-
 456 sponse of entrainment to uniform temperature changes (see Eq. (5)). There is also a bias in
 457 z_b , mostly due to a mismatch in q_t . Because precipitation is weak in the ISDAC-i simulations,
 458 cloud-top entrainment is the main process that determines q_t .

459 In MLM, everything above z_i is prescribed and does not vary with time. In LES, pro-
 460 cesses such as radiation and subsidence can change the profiles of θ_{li} and q_t above the cloud
 461 top up to 1200 m. Above 1200 m, θ_{li} and q_t are nudged toward the initial profiles. The lapse
 462 rate right above the cloud top is smoothed due to radiative cooling, especially for cases with
 463 a strong inversion. Therefore, $\Delta\theta_{li}$ in MLM is slightly biased high compared to LES (Figure
 464 16c). This explains the difference in entrainment rates between the models. Furthermore, right
 465 above z_i , subsidence dries the air and creates a vertically uniform q_t profile (instead of q_t de-
 466 creasing with height in LES), making Δq_t in MLM biased high (Figure 16d). This leads to
 467 a positive bias in MLM q_t values, which makes z_b lower than in LES.

468 In order to understand how cloud thickness changes, it is necessary to know what gov-
 469 erns the changes of cloud base height z_b . We can derive the rate of change of z_b in the MLM
 470 framework. Start by defining

$$471 \quad z_b = \frac{1}{\Gamma_d} (T_0 - T_{LCL}),$$

472 where Γ_d is the dry adiabatic lapse rate, and T_{LCL} is the temperature at the lifting conden-
 473 sation level, which is assumed to coincide with z_b . To compare the rate of change of z_b to the
 474 mass balance (2), we differentiate

$$475 \quad \frac{dz_b}{dt} = \frac{1}{\Gamma_d} \left(\frac{dT_0}{dt} - \frac{dT_{LCL}}{dt} \right). \quad (13)$$

476 The rate of change of surface temperature T_0 is implied by the energy balance (3). The tem-
 477 perature at z_b is T_{LCL} , defined as

$$478 \quad q_t = q_s(T_{LCL}).$$

479 We can take the time derivative and use the chain rule to get the time rate of change of T_{LCL} ,

$$480 \quad \frac{dT_{LCL}}{dt} = \frac{dq_t}{dt} \beta, \quad (14)$$

481 where

$$482 \quad \beta = \left(\frac{dq_s}{dT} \Big|_{T_{LCL}} \right)^{-1}.$$

483 By plugging (14) into (13) and using (3), (4), and (5), we find

$$484 \quad \frac{dz_b}{dt} = \frac{1}{\Gamma_d z_i \rho_a c_p} \left[a \Delta_i F_R \left(1 - \beta \frac{\Delta q_t}{\Delta \theta_{li}} \right) - \Delta F_R \right]. \quad (15)$$

485 Cloud base height, unlike cloud top height, not only depends on entrainment rate, but also on
 486 temperature (through β), the total radiative flux contrast across the BL, and the specific hu-
 487 midity jump Δq_t .

488 Cloud base height z_b is controlled by several factors, and we focus on their relative im-
 489 portance. The first term in the squared bracket on the right hand side of (15) involves mostly
 490 entrainment processes such as contrasts of radiative fluxes, q_t , and θ_{li} across the cloud top.
 491 Embedded in the first term is the dependence on temperature through β , where β decreases
 492 with temperature. However, both Δq_t and $\Delta\theta_{li}$ increase strongly with inversion strength, which
 493 overcomes the temperature dependence of β . Consequently, the first term dominates z_b changes
 494 in the parameter ranges of ISDAC-i.

495 5 Discussion and Conclusions

496 To improve our understanding of the sensitivity of Arctic low clouds to climate change,
 497 we simulated a mixed-phase stratocumulus using the recently developed LES code PyCLES.
 498 The setup was based on the ISDAC LES intercomparison project, and the simulated BL agrees
 499 reasonably well with aircraft observations. Subsequently, we conducted sensitivity experiments
 500 in a modified ISDAC setup.

501 By varying parameters that control the initial BL structure, such as temperature, inver-
 502 sion strength, and free-tropospheric relative humidity, we explored the BL response to differ-
 503 ent climates in an idealized setting (termed ISDAC-i experiments). We showed that Arctic stra-
 504 tlocumulus can be represented well with a MLM, as long as the BL does not become strongly
 505 decoupled. Most cases studied here have a cloud layer dominated by liquid, with amounts close
 506 to be adiabatic, despite an increase of sub-adiabaticity in cases with high free-tropospheric re-
 507 lative humidity.

508 The adiabatic LWP increases with $\theta_{li,0}$ and \mathcal{H}_f , and it decreases with $\Delta\theta_{li}$. This can
 509 be understood in the MLM framework through the dependence of the liquid water lapse rate
 510 and cloud thickness on the three parameters. Most of the LES have similar parameter depen-
 511 dencies as those exposed by the MLM, despite differences in the magnitude of the LWP sen-
 512 sitivities. The LWP response suggests a positive longwave cloud feedback at TOA, as the Arc-
 513 tic moves toward a warmer state that is surface intensified so the inversion weakens. The sen-
 514 sitivity depends on the structure of warming, which varies from 0.015 to 0.85 W m⁻² K⁻¹.
 515 Cloud ice amounts are an order of magnitude smaller than liquid amounts, and ice has a small
 516 radiative effect on TOA budgets.

517 The ability of the MLM to reproduce LES results depends on the fact that the BL re-
 518 mains coupled in our ISDAC-i simulations. Microphysical processes have the potential to de-
 519 couple the BL by transporting moisture from the cloud layer downward. Sublimation of snow
 520 in the sub-cloud layer may cool the air, thus leading to decoupling. In our simulations, mi-
 521 crophysics alone were unable to decouple the BL in ISDAC-i. External forcing such as large-
 522 scale advection appears to be needed to maintain a decoupled surface layer [Savre *et al.*, 2015].

523 The three parameters we chose to vary have implications for Arctic climate change. For
 524 example, surface amplified warming is likely to reduce the inversion strength capping the BL.
 525 When this occurs over sea ice, where surface fluxes are weak, we expect LWP to increase based
 526 on our experiments. A positive longwave cloud feedback results. If a decrease of free-tropospheric
 527 relative humidity accompanies warming in the Arctic, it would imply a change in LWP sen-
 528 sitivity to inversion strength and uniform warming (Figure 13).

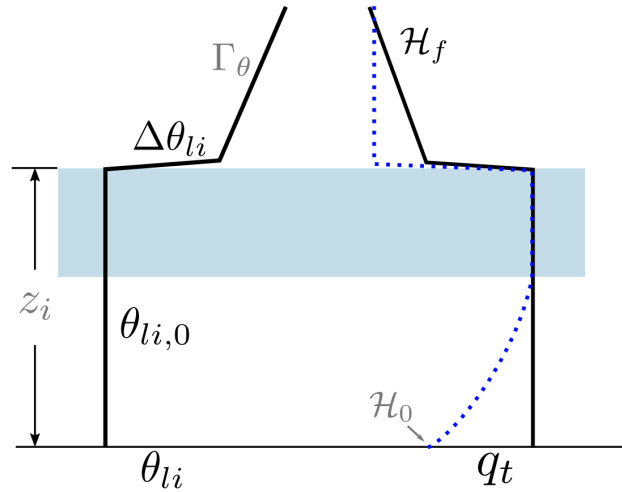
529 Our study of the sensitivity of Arctic stratocumulus is largely inspired by the subtrop-
 530 ical marine stratocumulus literature [Dal Gesso *et al.*, 2014; van der Dussen *et al.*, 2015]. The
 531 cloud thinning with uniform warming that we found in ISDAC-i is consistent with studies of
 532 subtropical stratocumulus. However, the LWP in subtropical stratocumulus decreases with uni-
 533 form warming and cloud thinning, which is opposite to what we see in ISDAC-i. This is due

534 to the fact that in ISDAC-i, q_l remains close to adiabatic and its response to warming over-
535 comes the cloud thinning. As shown in Figure 11, we expect the fractional change of liquid
536 water lapse rate to decrease in warmer temperatures. This seems to explain the weaker liq-
537 uid water lapse rate effect in the subtropics. Also, our temperature perturbation is much larger
538 than the 2-K perturbation that is used in most subtropical studies. The longwave cloud radi-
539 ative effect we find from ISDAC-i is weak but positive. The strength of this LW cloud feed-
540 back also depends on inversion strength, and hence on the vertical structure of warming. Two
541 features distinguish our study from the subtropical ones. First, surface heat fluxes are set to
542 zero, so the surface fluxes do not respond to perturbations. Second, decoupling of the BL does
543 not occur in the parameter ranges we explored.

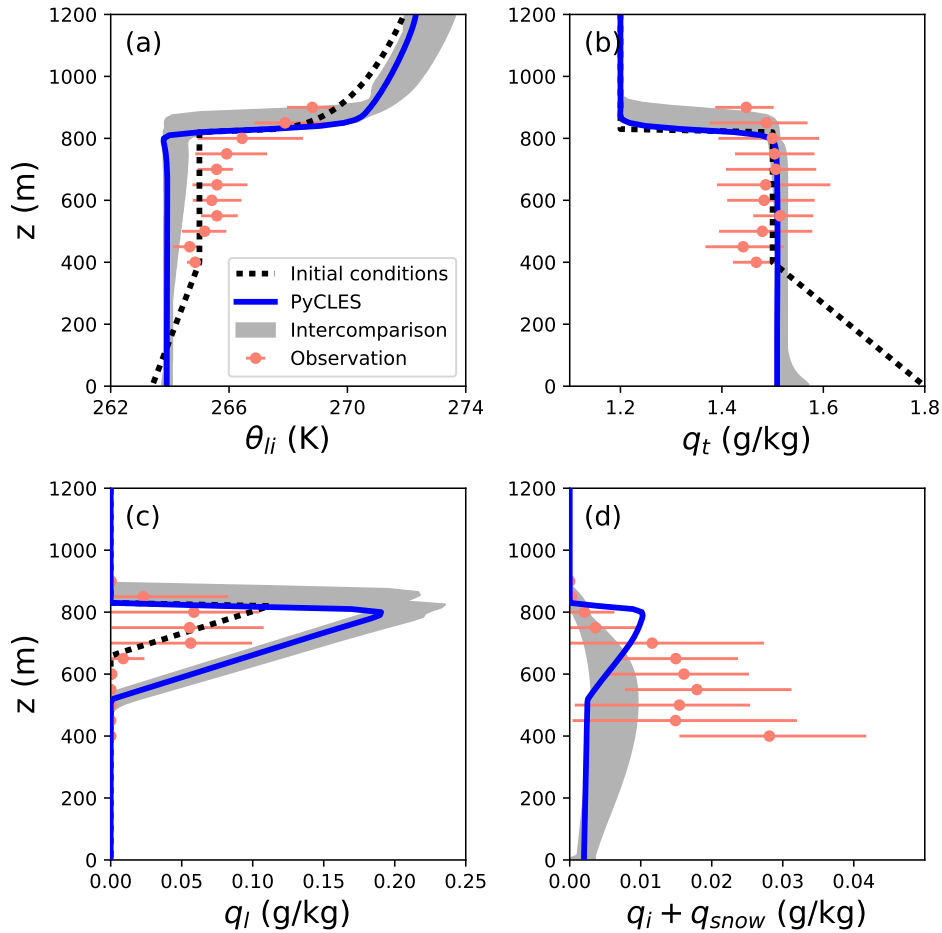
544 The simplified ISDAC-i setup has its limitations. For example, we have not tested the
545 sensitivities of the BL and clouds to surface fluxes. As sea ice extent continues to decline in
546 the Arctic Ocean, surface heat fluxes will become more important in driving BL turbulence.
547 A closed surface energy budget is needed to explore radiative feedbacks in a consistent man-
548 ner. A next step is to investigate how such surface flux changes and other changes in the large-
549 scale circulation can affect Arctic low-cloud cover more broadly.

550 **Table 1.** List of parameters and ranges over which they are varied in the sensitivity studies with ISDAC-i.
 551 Baseline parameter values in bold.

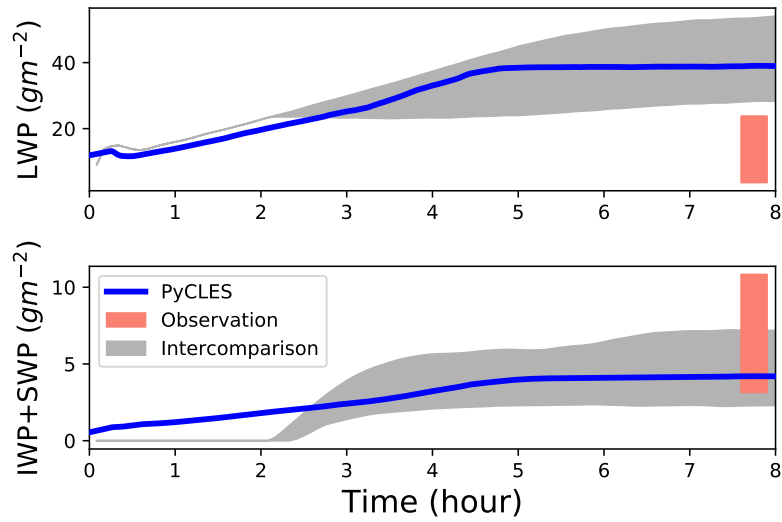
Variable	Range
$\theta_{li,0}$	261, 265 , 269, 273 K
$\Delta\theta_{li}$	3, 5 , 7, 9 K
\mathcal{H}_f	60 , 70%



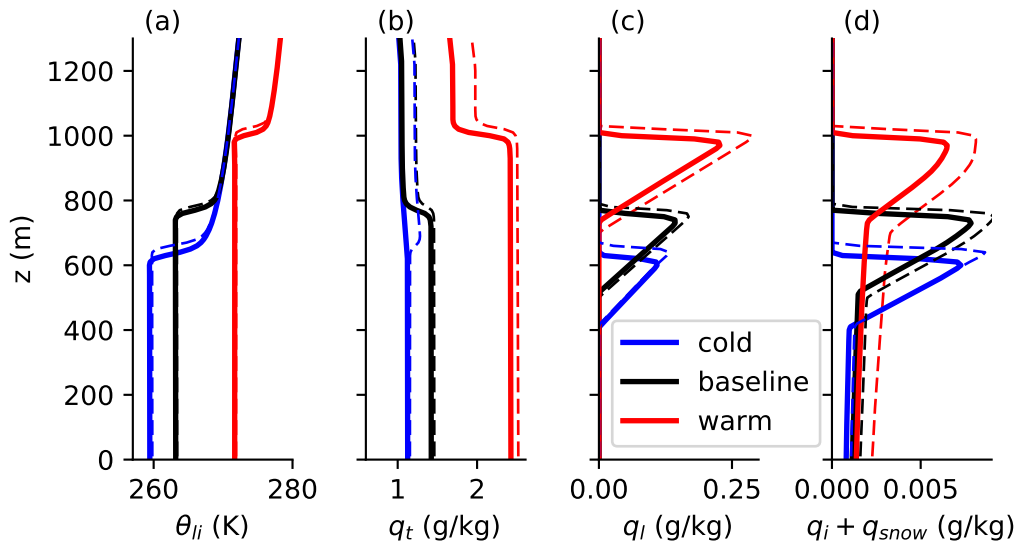
552 **Figure 1.** Schematic of the ISDAC-i θ_{li} and q_t initial conditions. The cloud layer is indicated in blue. The
 553 BL with height z_i has constant θ_{li} and q_t , where q_t is determined by the relative humidity (blue dotted line)
 554 near the surface \mathcal{H}_0 . The BL is capped by an inversion of $\Delta\theta_{li}$, above which potential temperature increases
 555 with height at the rate Γ_θ . Relative humidity above the BL, \mathcal{H}_f , does not vary with height, and it is used
 556 to determine q_t above z_i .



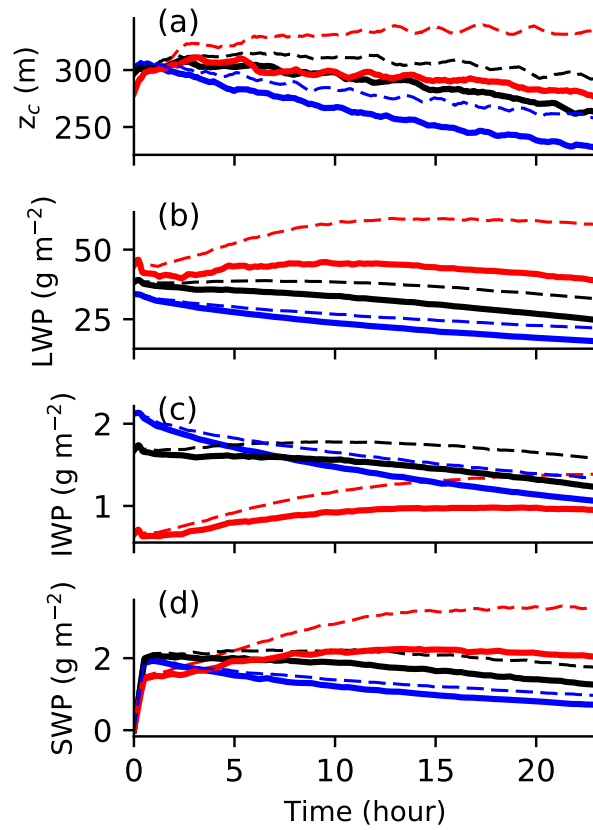
557 **Figure 2.** Domain mean profiles of (a) liquid ice potential temperature, (b) total water specific humidity,
 558 (c) cloud liquid water specific humidity, and (d) the sum of cloud ice and snow water specific humidity. Black
 559 dotted lines are the initial condition profiles. Gray shading shows the LES intercomparison range in *Ovchin-*
 560 *nikov et al.* [2014]. The pink dots show the aircraft observations of flight 31 of ISDAC campaign on April 27,
 561 2008. The horizontal lines indicate the 15–85 percentile range for the measurements binned every 50 m.



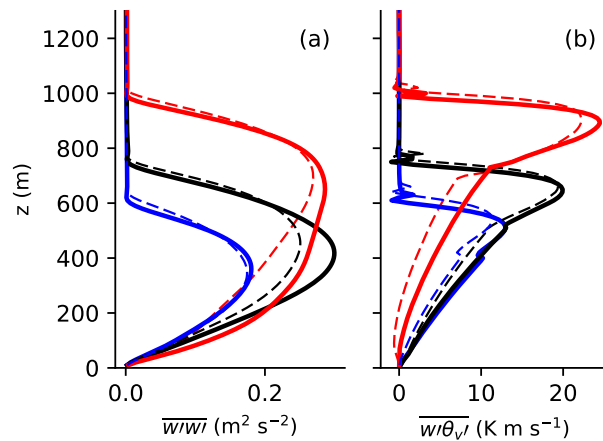
562 **Figure 3.** Timeseries of (top) liquid water path and (bottom) the sum of cloud ice and snow water path.
 563 Gray shading shows the LES intercomparison range in *Ovchinnikov et al.* [2014]. The pink bars show the
 564 range of observed values estimated using ISDAC flight 31 data in Figure 2.



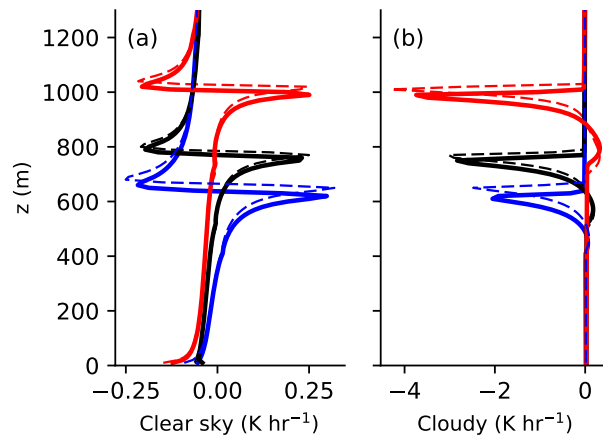
565 **Figure 4.** ISDAC-i domain mean profiles of (a) liquid ice potential temperature, (b) total water specific
 566 humidity, (c) liquid water specific humidity, and (d) the sum of cloud ice and snow water specific humidity.
 567 Solid lines are from simulations with RH=60%, and the dashed lines are with RH=70%.



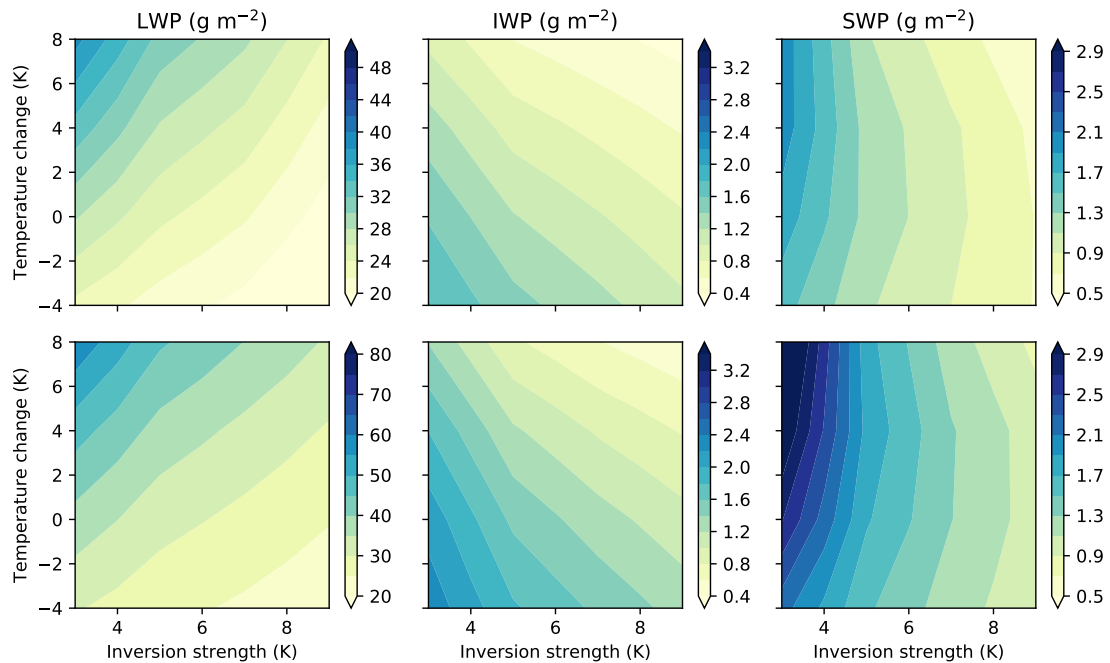
568 **Figure 5.** ISDAC-i timeseries of (a) cloud thickness, (b) liquid water path, (c) near-surface snow flux.
 569 Colors are the same as in Figure 4. Data are smoothed over 1 hour periods for better visualization.



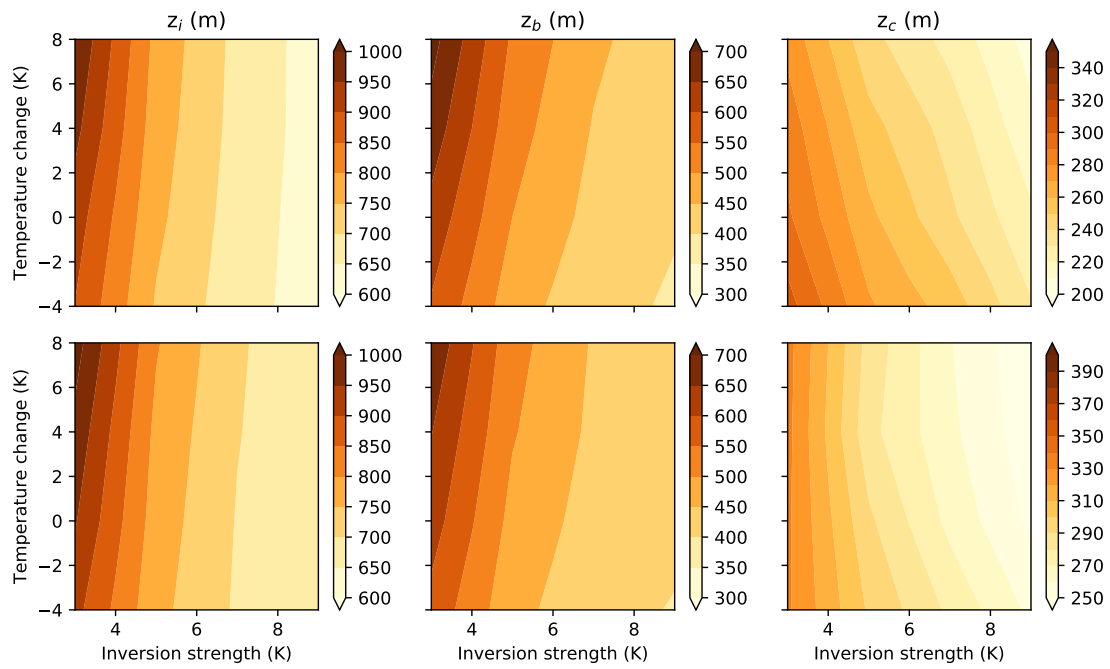
570 **Figure 6.** ISDAC-i domain mean profiles of (a) vertical velocity variance, and (b) vertical flux of virtual
 571 potential temperature, representing buoyancy production of TKE. Colors are the same as in Figure 4. Solid
 572 lines are from simulations with RH=60%, and the dashed lines are with RH=70%.



573 **Figure 7.** ISDAC-i domain mean profiles of (a) clear sky radiative heating rate, and (b) radiative heating
 574 rate due to cloud. Colors are the same as in Figure 4. Solid lines are from simulations with RH=60%, and the
 575 dashed lines are with RH=70%.

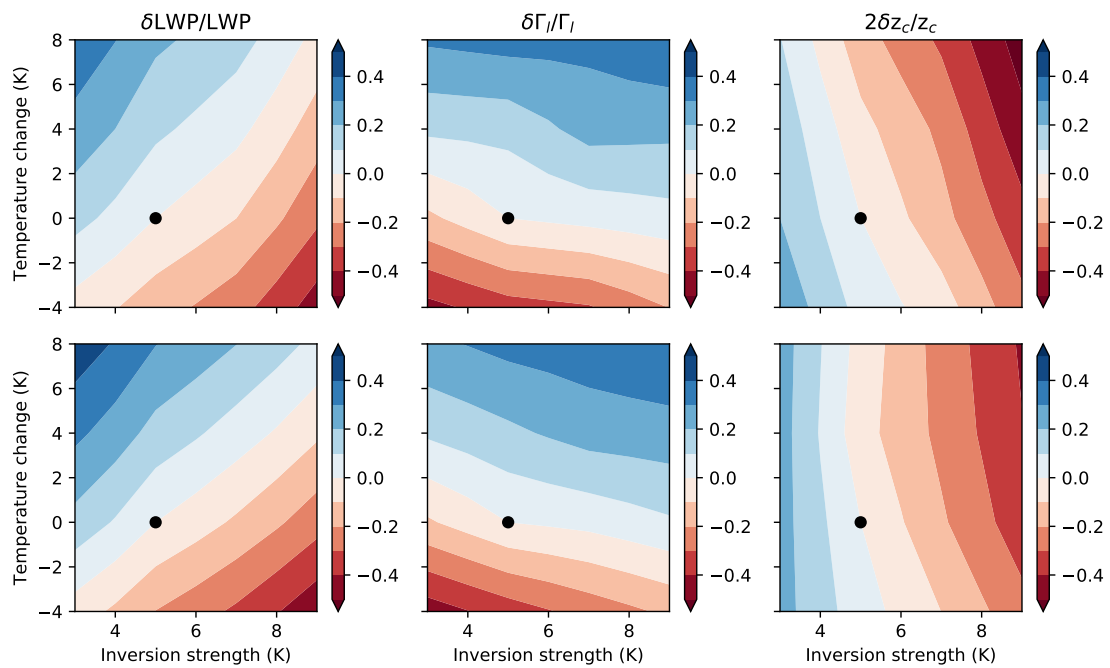


576 **Figure 8.** Liquid water path (LWP), ice water path (IWP), and snow water path (SWP) in LES ISDAC-i
 577 simulations averaged over the 24th hour. The horizontal axis shows the inversion strength, and the vertical
 578 axis shows uniform temperature change. Top panels show simulations with $\mathcal{H}_f = 60\%$, and bottom panels
 579 show simulations with $\mathcal{H}_f = 70\%$.



580

Figure 9. Same as Figure 8, but for LES cloud top height, cloud base height, and cloud thickness.

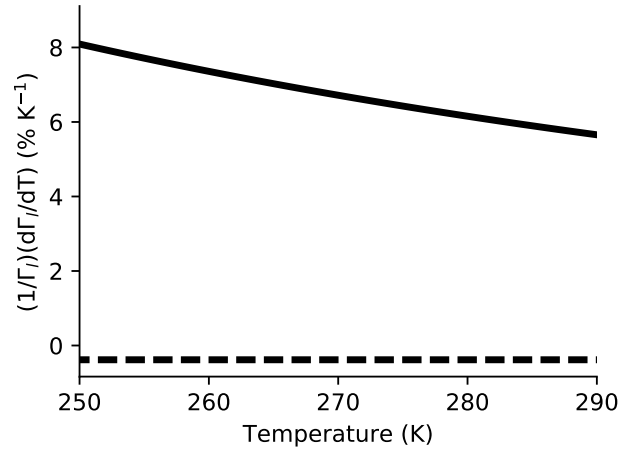


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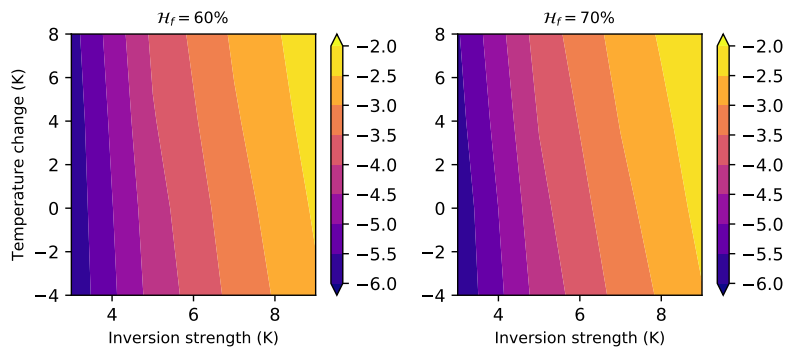
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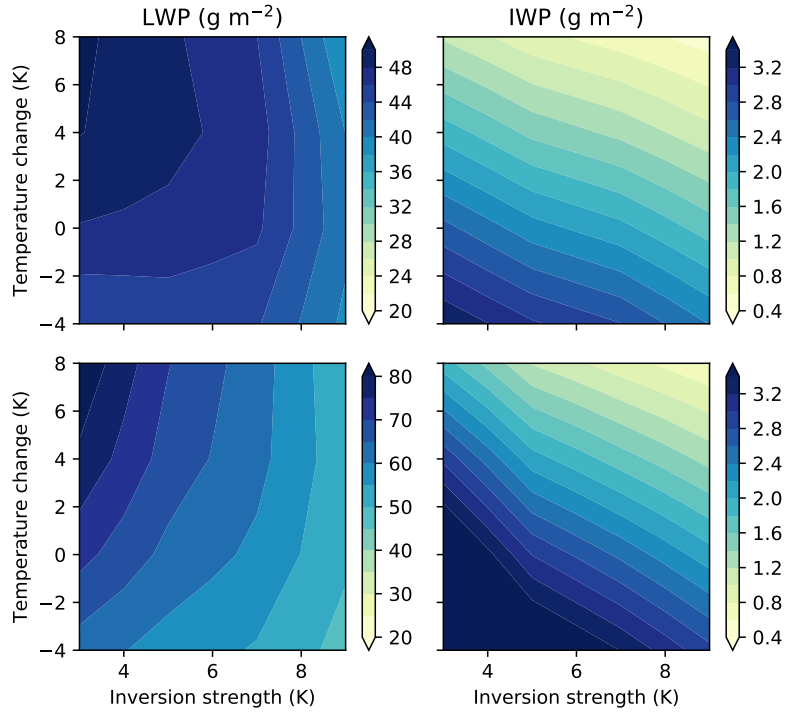
Figure 10. Same as Figure 8, but for LES estimated fractional LWP sensitivity, sensitivity due to fractional liquid lapse rate changes, and sensitivity due to fractional cloud thickness changes. All sensitivities are referenced to the *baseline* simulation indicated by the black dots. (9) for definition of the terms in the text.



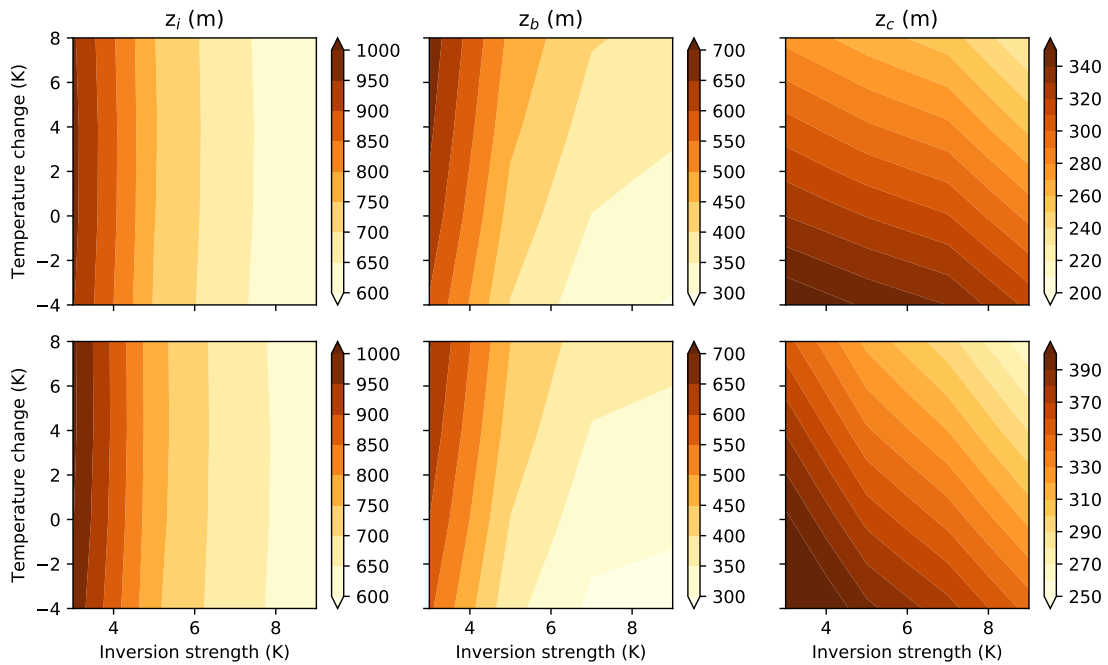
584 **Figure 11.** Fractional change of liquid water lapse rate with respect to temperature at 900 hPa. The solid
 585 line shows the change due to temperature, and the dashed line shows the change due to pressure.



586 **Figure 12.** TOA longwave cloud radiative effect for LES simulations with (left) $\mathcal{H}_f = 60\%$ and (right)
 587 $\mathcal{H}_f = 70\%$.



588 **Figure 13.** LWP and IWP in MLM ISDAC-i simulations averaged over the 24th hour. The horizontal axis
 589 shows the inversion strength, and the vertical axis shows uniform temperature changes. Top panels show
 590 simulations with $\mathcal{H}_f = 60\%$, and bottom panels show simulations with $\mathcal{H}_f = 70\%$.



591 **Figure 14.** Same as Figure 13, but for MLM cloud top height, cloud base height, and cloud thickness.

598 **A: MLM entrainment parameterization**

599 Cloud-top entrainment rates w_e are diagnosed for all ISDAC-i LES simulations, using
 600 the mass balance equation (2). To parameterize w_e for MLM, we also diagnose $\Delta_i F_R$ and $\Delta\theta_{li}$
 601 from the LES to get the linear-fit slope and intercept. The results are shown in Figure A.1.
 602 Overall, we obtain a good fit for ISDAC-i simulations using (5). Nearly 90% of the entrain-
 603 ment comes from the direct contribution of cloud-top radiative cooling.

608 **B: List of Symbols**

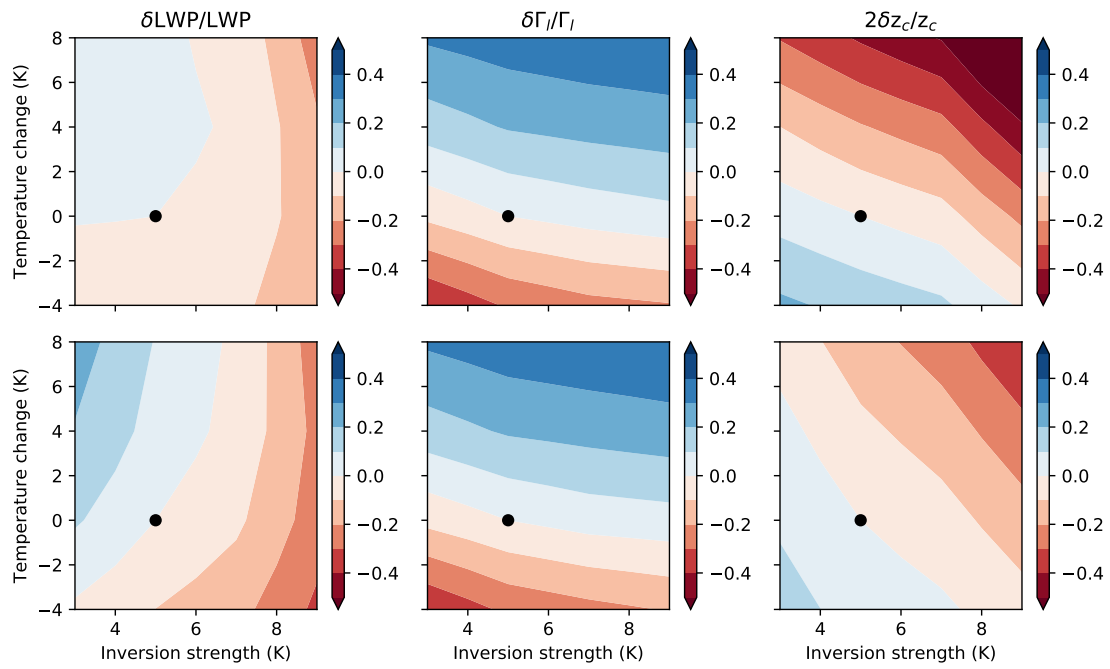
609 Unless otherwise noted, subscripts 0 indicate near-surface values, superscripts + indi-
 610 cate values right above the BL top.

611 **Notation**

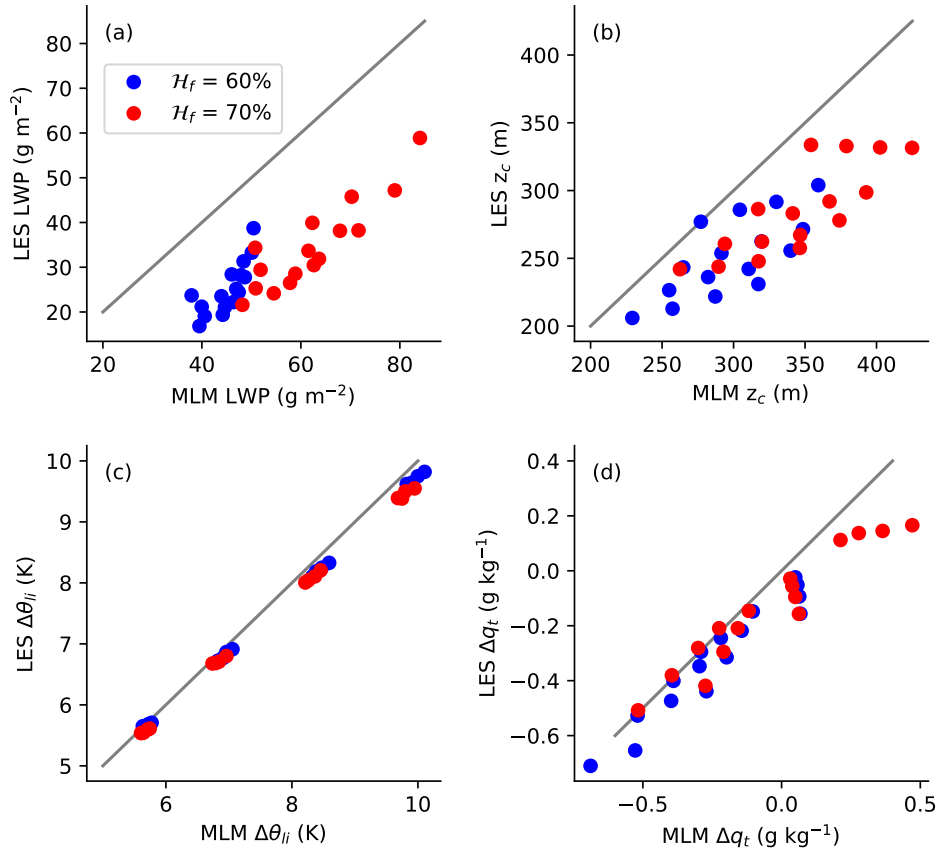
612	Γ_θ	Potential temperature
613	Γ_d	Dry adiabatic lapse rate
614	Γ_l	Liquid water lapse rate
615	Γ_m	Moist adiabatic lapse rate/lapse rate in the free troposphere
616	Δ	Contrast across entire BL
617	Δ_i	Contrast across entrainment zone
618	θ_{li}	Potential temperature
619	θ_v	Virtual potential temperature
620	κ	Thermal conductivity of air(?)
621	ρ_a	Density of air
622	ρ_i	Density of cloud ice
623	ρ_l	Density of cloud liquid
624	a	Entrainment coefficient
625	c_p	Specific heat of air at constant pressure
626	D	Water vapor diffusivity
627	F_R	Longwave radiative flux
628	\mathcal{H}_f	Relative humidity in the free troposphere
629	L	Effective latent heat
630	L_v	Latent heat of vaporization
631	n	Exponent in liquid fraction
632	q_i	Cloud ice water specific humidity
633	q_l	Cloud liquid water specific humidity
634	q_s	Saturation specific humidity
635	q_{snow}	Snow water specific humidity
636	q_t	Total water specific humidity
637	R_v	Gas constant for water vapor
638	T	Temperature
639	T_f	Freezing temperature of water
640	T_i	Homogeneous nucleation temperature
641	T_{LCL}	Temperature at lifting condensation level
642	w_e	Entrainment rate
643	z_b	Cloud base height
644	z_c	Cloud thickness
645	z_i	Cloud top/BL height

646 **Acknowledgments**

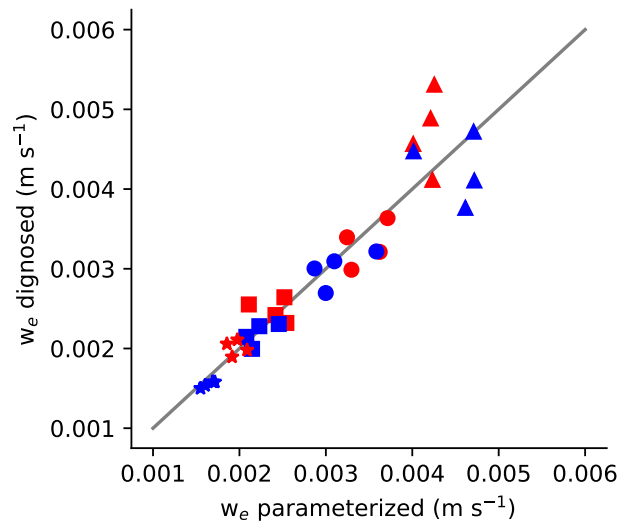
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dynamics.org](http://www.climate-
654 dynamics.org). LES and MLM data are available from the corresponding author upon request.



592 **Figure 15.** Same as Figure 13, but for MLM fractional LWP sensitivity, sensitivity due to fractional liquid
 593 lapse rate changes, and sensitivity due to fractional cloud thickness changes. All sensitivities are referenced to
 594 the *baseline* simulation indicated by the black dots.



595 **Figure 16.** Scatter plots of (a) LWP, (b) cloud thickness z_c , (c) inversion strength $\Delta\theta_{li}$, and (d) specific
 596 humidity jump q_t , from ISDAC-i simulations averaged at the last hour from MLM (x-axis) and LES (y-axis).
 597 The gray lines indicate the 1:1 slope.



604 **Figure A.1.** Entrainment rates diagnosed from ISDAC-i LES simulations using Eq. (2), and parameterized
 605 using Eq. (5). The slope coefficient $a = 0.85$ and the intercept $w_0 = 0.0004 \text{ m s}^{-1}$ are from a linear fit, with r^2
 606 $= 0.89$. Blue markers show simulations with $\mathcal{H}_f = 60\%$, and red with $\mathcal{H}_f = 70\%$. Marker types distinguish
 607 inversion strengths: 3 K (triangles), 5 K (circles), 7 K (squares), 9 K (stars).

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